

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

STEPHEN GRAY, individually and on behalf  
of all others similarly situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES PRINCE, THE  
PLANS ADMINISTRATIVE COMMITTEE  
OF CITIGROUP INC., THE 401(k)  
INVESTMENT COMMITTEE, and JOHN  
DOES 1-20,

Defendants

Civil Action No.: 07 Civ. 9790 (SHS)

ECF Case

SHAUN ROSE, individually and on behalf of  
all others similarly situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES PRINCE, THE  
PLANS ADMINISTRATIVE COMMITTEE  
OF CITIGROUP INC., THE 401(k)  
INVESTMENT COMMITTEE, and JOHN  
DOES 1-10,

Defendants

Civil Action No.: 07 Civ. 10294 (SHS)

ECF Case

MEREDITH TRANBERG, individually and  
on behalf of all others similarly situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES PRINCE, THE  
PLANS ADMINISTRATIVE COMMITTEE  
OF CITIGROUP INC., THE 401(k)  
INVESTMENT COMMITTEE, and JOHN  
DOES 1-20,

Defendants

Civil Action No.: 07 Civ. 10341 (SHS)

ECF Case

ANTON RAPPOLD, individually and on  
behalf of all others similarly situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES PRINCE, THE  
PLANS ADMINISTRATIVE COMMITTEE  
OF CITIGROUP INC., THE 401(k)  
INVESTMENT COMMITTEE, and JOHN  
DOES 1-20,

Defendants

Civil Action No.: 07 Civ. 10396 (SHS)

ECF Case

SAMIER TADROS, individually and on  
behalf of all others similarly situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES O. PRINCE,  
C. MICHAEL ARMSTRONG, ALAIN J. P.  
BELDA, GEORGE DAVID, KENNETH T.  
DERR, JOHN M. DEUTCH, ROBERTO  
HERNANDEZ RAMIREZ, ANN DIBBLE  
JORDAN, KLAUS KLEINFELD, ANDREW  
N. LIVERIS, ANNE MULCAHY, RICHARD  
D. PARSONS, JUDITH RODIN, ROBERT E.  
RUBIN, FRANKLIN A. THOMAS, JOHN  
DOES 1-20 (BEING CURRENT AND  
FORMER MEMBERS OF THE PLANS  
ADMINISTRATIVE COMMITTEE OF  
CITIGROUP INC.) and JOHN DOES 21-40  
(BEING CURRENT AND FORMER  
MEMBERS OF THE INVESTMENT  
COMMITTEE OF THE CITIGROUP INC.  
401(K) PLAN,

Defendants

Civil Action No.: 07 Civ. 10442(SHS)

ECF Case

STEPHAN FIORINO, individually and on  
behalf of all others similarly situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES PRINCE, THE  
PLANS ADMINISTRATIVE COMMITTEE  
OF CITIGROUP INC., THE 401(k)  
INVESTMENT COMMITTEE, and JOHN  
DOES 1-20,

Defendants

Civil Action No.: 07 Civ. 10458 (SHS)

ECF Case

JAMES BOLLA, individually and on behalf of  
all others similarly situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES PRINCE, THE  
PLANS ADMINISTRATIVE COMMITTEE  
OF CITIGROUP INC., THE 401(k)  
INVESTMENT COMMITTEE, and JOHN  
DOES 1-20,

Defendants

Civil Action No.: 07 Civ. 10461 (SHS)

ECF Case

MARK GEROULO, individually, on behalf of  
the CITIGROUP 401(k) Plan, the  
CITIBUILDER 401 (K) PLAN FOR PUERTO  
RICO, and all others similarly,

Plaintiff,

CITIGROUP, INC., CITIBANK, N.A., THE  
PLAN ADMINISTRATIVE COMMITTEE  
OF CITIGROUP, INC., MICHAEL E.  
SCHLEIN, JOHN DOES 1-10, THE  
CITIGROUP 401(k) PLAN INVESTMENT  
COMMITTEE and JOHN DOES 10-20, C.  
MICHAEL ARMSTRONG, ALAN J.P.  
BELDA, GEORGE DAVID, KENNETH T.  
DERR, JOHN M. DEUTCH, ROBERTO  
HERNANDEZ, ANN DIBBLE JORDAN,  
ANDREW N. LIVERIS, DUDLEY C.  
MECUM, ANNE M. MULCAHY, RICHARD  
D. PARSONS, ANDRALL E. PEARSON,  
CHARLES PRINCE, JUDITH RODIN,  
ROBERT E. RUBIN, FRANKLIN A.  
THOMAS and SANFORD I. WEILL,

Defendants.

Civil Action No.: 07 Civ. 10461 (SHS)

ECF Case

ALAN STEVENS, On Behalf of Himself and  
All Others Similarly Situated,

Plaintiff,

v.

CITIGROUP INC., CITIBANK, N.A.,  
CHARLES PRINCE, C. MICHAEL  
ARMSTRONG, ALAIN J.P. BELDA,  
GEORGE DAVID, KENNETH T. DERR,  
JOHN M. DEUTCH, PETER JOHNSON,  
ROBERTO HERNANDEZ RAMIREZ,  
ANDREW N. LIVERIS, ANNE  
MULCAHEY, RICHARD D. PARSONS,  
JUDITH RODIN, OBERT E. RUBIN,  
ROBERT L. RYAN, FRANKLIN A.  
THOMAS, THE PLANS ADMINISTRATION  
COMMITTEE OF CITIGROUP, INC., THE  
INVESTMENT COMMITTEE and JOHN  
DOES 1-30,

Defendants.

Civil Action No.: 07 Civ. 11156 (SHS)

ECF Case

STEPHEN GOLDSTEIN, On Behalf of  
Himself and All Others Similarly Situated,

Plaintiff,

v.

CITIGROUP INC., THE PLANS  
ADMINISTRATION COMMITTEE OF  
CITIGROUP, INC., MICHAEL E. SCHLEIN,  
CHARLES PRINCE, C. MICHAEL  
ARMSTRONG, ALAIN J.P. BELDA,  
GEORGE DAVID, KENNETH T. DERR,  
JOHN M. DEUTCH, ROBERTO  
HERNANDEZ RAMIREZ, ANDREW N.  
LIVERIS, ANNE MULCAHEY, RICHARD  
D. PARSONS, JUDITH RODIN, ROBERT E.  
RUBIN, ROBERT L. RYAN, AND  
FRANKLIN A. THOMAS, and JOHN DOES  
1-30,

Defendants.

Civil Action No.: 07 Civ. 11158 (SHS)

ECF Case

CHRIS SOUTHARD, On Behalf of Himself  
and All Others Similarly Situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES O. PRINCE,  
C. MICHAEL ARMSTRONG, ALAIN J.P.  
BELDA, GEORGE DAVID, KENNETH T.  
DERR, JOHN M. DEUTCH, ROBERTO  
HERNANDEZ RAMIREZ, ANN DIBBLE  
JORDAN, KLAUS KLEINFELD, ANDREW  
N. LIVERIS, ANNE MULCAHY, RICHARD  
D. PARSONS, JUDITH RODIN, ROBERT E.  
RUBIN, FRANKLIN A. THOMAS, JOHN  
DOES 1-20 (BEING CURRENT AND  
FORMER MEMBERS OF THE PLANS  
ADMINISTRATIVE COMMITTEE OF  
CITIGROUP INC.) and JOHN DOES 21-40  
(BEING CURRENT AND FORMER  
MEMBERS OF THE INVESTMENT  
COMMITTEE OF THE CITIGROUP INC.  
401(K) PLAN),

Defendants.

FRANCIA BRICK, On Behalf of Himself and  
All Others Similarly Situated,

Plaintiff,

v.

CITIGROUP INC., CHARLES PRINCE, THE  
PLAN'S ADMINISTRATIVE COMMITTEE  
OF CITIGROUP, INC., THE 401(k)  
INVESTMENT COMMITTEE, and JOHN  
DOES 1-10,

Defendants.

Civil Action No.: 07 Civ. 11164 (SHS)

ECF Case

Civil Action No.: 07 Civ. 11369

ECF Case

**NOTICE OF APPEARANCE**

The undersigned counsel enters his appearance in the above-captioned matters on behalf of Plaintiff Shaun Rose, and certifies that he is admitted to practice in this Court.

Dated: January 10, 2008

**SCHATZ NOBEL IZARD, P.C.**

By: \_\_\_\_\_/s/  
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